

Retirement Income Strategies  
Business Continuity Plan Summary Notice

Pursuant to FINRA and SEC regulation and in its own best interest, Retirement Income Strategies has a “Business Continuity Plan” (“BCP”) for the possibility of future “significant business disruptions” (“SBD”) ranging in severity from Firm only to regional. Recovery time from an SBD will depend on the severity of the event, with estimated disruption of service ranging from three to twenty-four hours.

Retirement Income Strategies has functional offsite abilities providing an alternative which can reasonably operate under an SBD. Retirement Income Strategies’ electronic records, as well as those of its custodial firms are backed up on a weekly basis and stored electronically in two separate locations. In the event of a catastrophic failure to include a citywide or regional disruption, Retirement Income Strategies will continue business operations from its offsite locations. Clients will continue to contact us via telephone at 608-208-1800 or our custodial firms at the telephone numbers below. Alternatively, clients may access us at [WEBSITE](#) or our clearing firms at the websites listed below. If you have any questions regarding this summary, please call our office at 608-208-1800.

**TD Ameritrade**  
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